

## Know Your Business Partner Questionnaire

In order to comply with our obligations relating to the ISO 37001 Standard (Anti-bribery management system), ISO 31000 Standard (Risk management) and Corruption prevention law requirements, please duly complete this questionnaire on behalf of the company mentioned below:

1. Please provide the following ID numbers if available:

Company name: \_\_\_\_\_

Registration Number: \_\_\_\_\_

Registration Date: \_\_\_\_\_

VAT: \_\_\_\_\_

Tax residence(s)<sup>1</sup>: \_\_\_\_\_

Physical presence<sup>2</sup> of your institution (address)? \_\_\_\_\_

2. What type of company are you?

Private                       Government

Other (please specify): \_\_\_\_\_

3. Please list below all shareholders:

Full name	Citizenship	Legal Form	Registration Address and Country	Percentage

<sup>1</sup> In case multiple tax residences are available please provide all of them

<sup>2</sup> **Physical Presence** means that your institution maintains a place of business that is located at a fixed address (other than solely an electronic address) in a country in which it is authorised or licensed by such country to conduct business activities and, at which location it employs one or more individuals on a full-time basis and maintains operating records related to its activities; and is subject to inspection by the your authority that authorised or licensed it to conduct your business activities

4. Ultimate Beneficial Owner(s)<sup>3</sup>:

Full name	Nationality	Place of residence

5. Please provide the total number of employees (at the moment): \_\_\_\_\_

6. Were you a subject to sanctions tailored to combat money laundering and terrorist financing in your country?

Yes  No

7. Do you have a presence (parent undertaking, branches and subsidiaries) in sanctioned countries<sup>4</sup>?

Yes  No

If yes, please specify: \_\_\_\_\_

8. Are (were) you or a shareholder involved in the construction or operation of the Astravets nuclear power plant in the Republic of Belarus, or in the projects for the development of the electricity infrastructure related to the operation of this plant?

Yes  No

If yes, please specify: \_\_\_\_\_

9. Business Model

What is your business focus with regard to country, industry and product?

Country:

Domestic  Cross-Border

If Cross-Border:

EEA / EFTACIS  China  Russia  Belarus

<sup>3</sup> Ultimate beneficial owner(s) means the natural person at the end of the ownership chain who holds 25% or more of votes or ownership interest, or exercises actual governance or control over the legal person or entity without legal personality in some other way, or has controlling interest in the same, or in the absence of such person the executive officer(s).

<sup>4</sup> <https://www.sanctionsmap.eu/#/main>

Other (please specify): \_\_\_\_\_

Industry: \_\_\_\_\_

Product: \_\_\_\_\_

10. Do you have customers/offer services in sanctioned countries<sup>5</sup>?

Yes  No

11. Do you have offshore-clients<sup>6</sup>?

Yes  No

12. Do you have written policies to prevent corruption?

Yes  No

If yes, please provide name, date and signatory of the document: \_\_\_\_\_

13. Have you implemented a risk-based approach?

Yes  No

If yes, please elaborate: \_\_\_\_\_

14. Please explain how the risk of financial crime, in particular fraud and corruption/bribery, is managed in your institution (responsibilities, approach etc.) \_\_\_\_\_

### **Consent to Information Disclosure**

By duly signing below I give our consent to any entity of the AB „Ignitis grupė“ disclose, as it considers necessary or appropriate, the information provided herein for the purpose of the AB Ignitis grupė being able to comply with their statutory and/or regulatory requirements.

### **Authorised Signature**

\_\_\_\_\_  
Signature on behalf of the Company

\_\_\_\_\_  
< Date >

\_\_\_\_\_  
< Name in Capital Letters of Signee's >

<sup>5</sup> <https://www.sanctionsmap.eu/#/main>

<sup>6</sup> **Offshore-client** means post-office box companies in offshore-destinations as BVI, Panama etc. without physical presence and without operative business in the respective/registered country